CARICOM REGIONAL STANDARD SUSTAINABLE AND TRACEABLE COCOA — PART 1: REQUIREMENTS FOR COCOA SUSTAINABILITY MANAGEMENT SYSTEMS (ISO 34101-1:2019 with any necessary modifications)

This document specifies high-level requirements for management systems for sustainable cocoa bean production, including post-harvest processes, if applicable, and traceability of the sustainably produced cocoa beans within the organization producing the cocoa beans.

Only organizations that fulfil both the cocoa sustainability management system requirements of either this document or ISO 34101-4:2019, Annex A or B, and the performance requirements of ISO 34101-2 can claim their cocoa beans have been sustainably produced.

Foreword

Introduction

- 1 Scope
- 2 Normative references
- 3 Terms and definitions
- 4 Context of the organization
- 5 Leadership
- 6 Planning
- 7 Support
- 8 Operation
- 9 Performance evaluation
- 10 Continuous improvement

Annex A Overview on the process towards establishment of the cocoa farm

development plan Annex B Cost expenditure documentation scheme

Annex C Information on individual farms for coca farm diagnostic

Annex D Cocoa farm development plan

Annex E Cocoa farm development plan – Advice to registered farmers

CARICOM REGIONAL STANDARD SUSTAINABLE AND TRACEABLE COCOA BEANS — PART 2: REQUIREMENTS FOR PERFORMANCE (RELATED TO ECONOMIC, SOCIAL, AND ENVIRONMENTAL ASPECTS) (ISO 34101-2:2019 with any necessary modifications)

This document specifies performance requirements related to economic, social and environmental aspects for sustainable cocoa bean production, including post-harvest processes, if applicable.

The performance requirements specified in ISO 34101-2 are complementary to the cocoa sustainability management system requirements. Only organizations that fulfil both the cocoa sustainability management system requirements (either this document or ISO 34101-4:2019, Annex A or B) and the performance requirements (ISO 34101-2) may claim their cocoa beans have been sustainably produced.

Foreword

Introduction

- 1 Scope
- 2 Normative references
- 3 Terms and definitions
- 4 General requirements
- 5 Requirement for economic aspects
 - 5.1 Rationale for economic-related environment
 - 5.2 Cocoa farm diagnostic and cocoa farm development plan
 - 5.3 Capacity building and on accounting and enabling access to credit
 - 5.4 Farm agronomic performance
- 6 Requirements for social aspects
- 7 Requirements related to environmental aspects

This document specifies basic requirements for the design and implementation of a physical traceability or conformity claim mass balance system to trace the flow, both physically and/or administratively, of cocoa beans and cocoa products derived from those beans. This document specifies requirements for cocoa beans conforming to the requirements of ISO 34101-1 and ISO 34101-2 and derivative products from those beans. This document specifies verifiable requirements for a derivative product of the point of exit from the manufacturer of the final retail product.

Foreword

Introduction

- 1 Scope
- 2 Normative references
- 3 Terms and definitions
- 4 Principles and objectives
- 5 Record keeping
- 6 Physical traceability
- 7 Conformity claims Mass balance
- 8 Improvement
- 9 Implementation
- 10 Review

Annex A Documentation requirements

Annex B Rationale for business

guidance Annex C Mass balance

CARICOM REGIONAL STANDARD SUSTAINABLE AND TRACEABLE COCOA — PART 4: REQUIREMENTS FOR CERTIFICATION SCHEME (ISO 34101-4:2019, with any necessary modifications)

This document specifies requirements for certification schemes for certification of sustainably produced cocoa beans and derivative cocoa products. It is intended to be used jointly with ISO 34101-1, ISO 34101-2, and/or ISO 34101-3.

Foreword

Introduction

- 1 Scope
- 2 Normative references
- 3 Terms and definitions
- 4 Scheme owner requirements
- 5 Scheme development and management
- 6 Publicly available information
- 7 Complaints and appeals to the scheme owner
- 8 Requirements for bodies performing audits and certification
- 9 Claims
- 10 Third-party mark of conformity
- 11 Licensing and control of third party marks

Annex A Lists of risks related to conformity

assessment

Annex B Risk-based approach in relation to certifying bodies